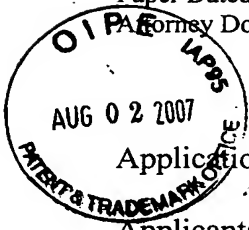


Application No.: 10/575,025  
Paper Dated: July 30, 2007  
Patent Docket No.: 0115-061069



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. : 10/575,025 Confirmation No. : 7045  
Applicants : Erick CARREIRA et al.  
Filed : April 7, 2006  
Title : **Novel Hypcholesterolemic Compounds**  
Group Art Unit : 1614  
Examiner : Not Yet Assigned  
Customer No. : 28289

Mail Stop: AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

Pursuant to the requirements of 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants hereby submit this Information Disclosure Statement, which includes completed Forms PTO/SB/08A and 08B and a copy of each non-US patent and non-patent literature identified therein.

This application is the United States national phase of PCT Application No. PCT/CH04/000584, filed September 15, 2004, claiming a priority date of October 7, 2003, corresponding to European Patent Application No. 03 405 719.0.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on July 30, 2007.

Lisa A. Miller

(Typed Name of Person Mailing Paper)

*Lisa A. Miller*  
Signature

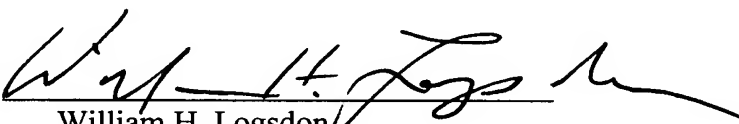
7/30/2007  
Date

The relevance of "Stereochemie der Dehydratisierung und Halogenierung der  $\alpha R^*$ - und  $\alpha S^*$ -Isomere von 3-( $\alpha$ -Hydroxybenzyl)-1,4-diphenyl-2-azetidinonen" and "Darstellung und Stereochemie von 3-( $\alpha$ -Hydroxybenzyl)-1,4-diphenyl-2-azetidinonen" is indicated in the International Search Report (ISR) dated November 8, 2004, a copy of which is enclosed herewith. No English-language abstracts or translations of these two non-patent references are readily available to Applicants. The relevance of DE 36 20 467 is also indicated in the ISR. An English-language abstract of DE 36 20 467 is enclosed herewith.

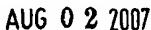
Because this Information Disclosure Statement is being submitted before a first Office Action on the merits, it is timely under 37 C.F.R. § 1.97(b)(3) and no fee is required. However, the Commissioner for Patents is hereby authorized to charge any additional fees which may be required to Deposit Account No. 23-0650. One (1) original and two (2) copies of this Information Disclosure Statement are enclosed.

Respectfully submitted,

THE WEBB LAW FIRM

By 

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Approved for use through 09/30/2007. OMB 0651-0031

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Sheet	1	of	3
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**Complete if Known**

Application Number	10/575,025
Filing Date	April 7, 2006
First Named Inventor	Erick Carreira
Art Unit	1614
Examiner Name	Not Yet Assigned
Attorney Docket Number	0115-061069

## U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
	9.	DE 3620467	01-02-1987	Ciba Geigy AG		✓
	10.	WO 97/16455	05-09-1997	Schering Corp.		

Examiner Signature		Date Considered	
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		Application Number	10/575,025
		Filing Date	April 7, 2006
		First Named Inventor	Erick CARREIRA
		Art Unit	1614
		Examiner Name	Not Yet Assigned
Sheet 2	of 3	Attorney Docket Number	0115-061069

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	11.	MUKERJEE, ARYA K. et al., "Rapid Synthesis of Dehydropeptides Carrying a $\beta$ Lactam Moiety," J. Chem. Research (S), 1993, pp. 280-81, No. 7, London, Great Britain.	
	12.	VAN HEEK, MARGARET et al., "In vivo Metabolism-Based Discovery of a Potent Cholesterol Absorption Inhibitor..." JPET, 1997, pp. 157-63, Vol. 283, No. 1.	
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	14.	UDUPI, R. H. et al., "Synthesis of 1-(2'-carboxy-5'-nitrophenyl)-3,4-substituted azetidin-2-ones..." Indian Journal of Heterocyclic Chem., Oct-Dec 1996, pp. 99-102, Vol. 6.	
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	18.	BOSE, AJAY K. et al., "Microwave-Assisted Rapid Synthesis of $\alpha$ -Amino- $\beta$ -Lactams..." Tetrahedron Letters, 1996, pp. 6989-92, Vol. 37, No. 39.	
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	20.	BURNETT, DUANE A. et al., "Synthesis of 3-(1-Hydroxyethyl)-2-azetidinones via Ester-Imine Condensations," J. Org. Chem., 1985, pp. 5120-23, Vol. 50, No. 25.	

Examiner Signature	Date Considered
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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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STATEMENT BY APPLICANT**

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Application Number	10/575,025
Filing Date	April 7, 2006
First Named Inventor	Erick CARREIRA
Art Unit	1614
Examiner Name	Not Yet Assigned
Attorney Docket Number	0115-061069

Sheet 3 of 3

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	21.	BURNETT, DUANE A. et al., "2-Azetidinones as Inhibitors of Cholesterol Absorption," Journal of Medicinal Chemistry, June 10, 1994, pp.1733-36, Vol. 37, No. 12.	
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	27.	BURNETT, DUANE A. et al., "Synthesis of Iodinated Biochemical Tools Related to the 2-Azetidinone Class..." Bioorg. Med. Chem. Lett., 2002, pp. 311-314, Vol. 12, No. 3.	

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